

8/12/04

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/757,032	01/13/2004	William Freeman	15436.31.1.1

CONFIRMATION NO. 3252

022913

WORKMAN NYDEGGER (F/K/A WORKMAN NYDEGGER & SEELEY)  
 60 EAST SOUTH TEMPLE  
 1000 EAGLE GATE TOWER  
 SALT LAKE CITY, UT 84111

FORMALITIES LETTER



\*OC000000012382593\*

Date Mailed: 04/20/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/13/2004 MAHME1 00000051 10757032

FILED UNDER 37 CFR 1.53(b)

01 FC:1001	770.00 OP
02 FC:1051	130.00 OP
03 FC:1201	86.00 OP
04 FC:1202	144.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$230 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1130** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is **\$230**
  - **\$86** for **1** independent claims over 3.
  - **\$144** for **8** total claims over 20.

Replies should be mailed to:   Mail Stop Missing Parts  
  Commissioner for Patents  
  P.O. Box 1450  
  Alexandria VA 22313-1450

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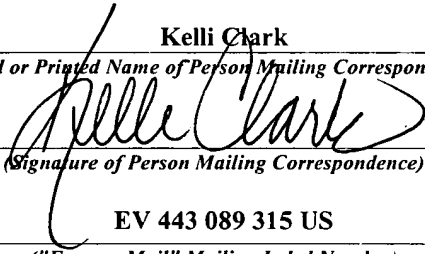
*A copy of this notice **MUST** be returned with the reply.*

T. Ictsele

Customer Service Center

Initial Patent Examination Division (703) 308-1202

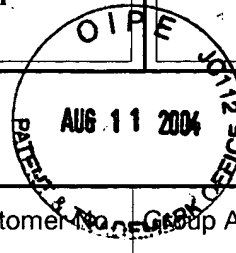
PART 2 - COPY TO BE RETURNED WITH RESPONSE

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No.	
Applicant(s): William Freeman, et al.			5436.31.1.1	
Application No. 10/757,032	Filing Date 01/13/2004	Examiner Unassigned	Customer No. 622913	Group Art Unit 1734
Invention: PULSED LASER DEPOSITION FOR MASS PRODUCTION				
<p>I hereby certify that the following correspondence:</p> <div><p>Response to Notice to File Missing Parts (3 pgs, in triplicate); Copy of Notice to File Missing Parts (2 pgs.); Assignment and Assignment Recordation Form Cover Sheet (5 pgs.); Declaration, POA, and Petition (4 pgs.); PTO-2038 Credit Card Form in the amount of \$1,590.00 (1 pg.); and Certificate of Express Mailing Label No. EV 443 089 289 US.</p></div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;">August 11, 2004 <i>(Date)</i></p> <div><p style="text-align: center;">Kelli Clark <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p><p style="text-align: center;"> <i>(Signature of Person Mailing Correspondence)</i></p><p style="text-align: center;">EV 443 089 315 US <i>("Express Mail" Mailing Label Number)</i></p></div>				
<p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p>				

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
15436.31.1.1

In Re Application Of: **William Freeman, et al.**



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/757,032	01/13/2004	Unassigned	022913	1734	3252

Invention: **PULSED LASER DEPOSITION FOR MASS PRODUCTION**

Mail Stop Missing Parts

COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

04/20/2004

*Date*

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**PTO-1595 Recordation Form Cover Sheet and Assignment conveying invention to Finisar Corporation; and  
PTO-2038 Credit Card Payment Form in the amount of \$1,590.00.**

08/13/2004 HAHMED1 00000051 10757032

05 FC:1252 420.00 OP

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**15436.31.1.1**

In Re Application Of: **William Freeman, et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
<b>10/757,032</b>	<b>01/13/2004</b>	<b>Unassigned</b>	<b>022913</b>	<b>1734</b>	<b>3252</b>

Invention: **PULSED LASER DEPOSITION FOR MASS PRODUCTION**

**TO THE COMMISSIONER FOR PATENTS:**

**Mail Stop Missing Parts**

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$770.00

☐ Design application filing fee                     

☒ Total number of independent claims = 4 \$86.00

☒ Total number of claims = 28 \$144.00

☐ Multiple dependent claims                     

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☒ Assignment Recordation Fee \$40.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor                     

☐ Fee for processing an application filed with a non-English language specification                     

☐ Fee for processing and retention of application                     

Total completion of application fees \$1,170.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month      ☒ Two months      ☐ Three months      ☐ Four months      ☐ Five months

from: 06/20/2004 until: 08/20/2004  
*Date* *Date*

Total time extension fees \$420.00

Total fees due \$1,590.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
15436.31.1.1

In Re Application Of: William Freeman, et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/757,032	01/13/2004	Unassigned	022913	1734	3252

Invention: PULSED LASER DEPOSITION FOR MASS PRODUCTION

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

The fee of \$1,590.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 23-3178
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 23-3178



*Signature*

Dated: August 11, 2004

FRASER D. ROY  
Registration No. 45,666  
Customer No. 022913

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

CC: